



Richmond Office of the City Auditor

Office of the Inspector General

Fighting government waste, fraud and abuse

Umesh Dalal, CPA, CIA, CIG

Richmond City Auditor/Inspector General

September 15, 2016

Mrs. Selena Cuffee-Glenn – Chief Administrative Officer
City of Richmond

The Office of the Inspector General (OIG) has completed an investigation within the Department of Public Utilities. This report presents the results of the investigation.

Allegations:

The OIG received a complaint alleging a Department of Public Utilities (DPU) analyst attended Lean Six Sigma Green Belt training, missed three days and did not obtain certification.

Legal & City Policy Requirements:

In accordance with the Code of Virginia, §15.2-2511.2, the City Auditor is required to investigate all allegations of fraud, waste, and abuse. Also, City Code section 2-231 requires the Office of the Inspector General to conduct investigations of alleged wrongdoing.

Findings:

The Call Center Unit of DPU paid \$2,425.50 for the Analyst to attend Lean Six Sigma Green Belt training during May 19 through 22, 2014. According to the Analyst, he could not attend the last two days of training due to a family emergency. However, he completed those sessions on October 1 and 2, 2014.

The Analyst was asked if he received the certification for which he attended the training. He stated that a project had to be completed before the certification can be issued. The employee stated he started the project in May 2016, which is approximately two years after the training was paid for by the City. The employee indicated that the training did not require the project to be completed within a certain time frame. The investigator verified this assertion.

The Analyst was asked if he submitted a leave request for the days missed due to the family emergency. The employee asserted that he might have worked extra hours to make-up the lost time. The investigator requested documentation to support his assertion. Subsequently, the Analyst contacted the investigator to inform him that he was supposed to submit a leave request for the days missed but he forgot. The investigator advised the subject employee not to submit leave until the conclusion of this investigation.

Based on the findings, the OIG concludes that the allegation is substantiated in regards to obtaining the certification and missing two days of the originally scheduled training dates. The OIG recommends appropriate disciplinary action against the subject employee. The Call Center Manager needs to request the subject to submit leave request for the missed days.

If you have any questions, please contact me at extension 5616.

Sincerely,

Umesh Dalal

Umesh Dalal, CPA, CIA, CIG
City Auditor/Inspector General

cc: City Council Members
City Audit Committee
Mr. John Buturla, DCAO of Operations
Mr. Robert Steidel, DPU Director